| erial or Patent No.: | avid Stern, et al. | Attorney's 62096/JPW/ Docket No: |
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| iled or Issued: | | |
| itle of Invention or | Patent: A METHOD FOR INHIBIT | TING NEW TISSUE GROWTH IN BLOOD |
| OIPE | VESSELS IN A PATIENT | SUBJECTED TO BLOOD VESSEL INJURY |
| VI | ERIFIED STATEMENT (DECLARATI | ON) CLAIMING |
| An | ALL ENTITY STATUS UNDER 37 (| |
| 2 5 200 SM | AND §1.27(d) - NONPROFIT OF | |
| | AND \$1.27(d) - NONPROPIL OF | COMILATION |
| organization identifie | ed below: | to act on behalf of the nonprofit |
| | | University in the City of New York |
| ddress of Organizatio | on: Broadway and West 116 | |
| | New York, New York 1 | 0027 |
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| TYPE OF ORGANIZATION: | | |
| V INTERNATED OF | OTHER INSTITUTION OF HIGHER | FRICATION |
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| WOULD QUALIFY | AS TAX EXEMPT UNDER INTERNA | L REVENUE SERVICE CODE 26 U.S.C. |
| §§501(a) and 5 | 501(c)(3) IF LOCATED IN THE | UNITED STATES OF AMERICA |
| WOULD QUALIFY | AS NONPROFIT SCIENTIFIC OR I | EDUCATIONAL UNDER STATUTE OF STATE |
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| NAME OF STATE: | | |
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| CITATION OF ST | ratute: | |
| hereby declare that comprofit organization educed fees under 35 UA METHOD FOR INHIBITING BLOOD VESSEL INJURY | the nonprofit organization n as defined in 37 C.F.R. U.S.C. §41(a) and 41(b), with | identified above qualifies as a \$1.9(e)* for purposes of paying n regard to the invention entitled VESSELS IN A PATIENT SUBJECTED TO |
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- (d) A small business concern as used in this chapter means any business concern as defined by the Small Business Administration in 13 C.F.R. §121.3-18, published on September 30, 1982 at 47 FR 43273. For the convenience of the users of these regulations, that definition states:
- §121.3-18 Definition of small business for paying reduced patent fees under Title 35, U.S. Code.
- (a) Pursuant to Pub. L. 97-247, a small business concern for purposes of paying reduced fees under 35 U.S. Code 41(a) and (b) to the Patent and Trademark Office means any business concern (1) whose number of employees, including those of its affiliates, does not exceed 500 persons and (2) which has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section. For the purpose of this section concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of the business concern is the average over the fiscal year of the the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern.
 - (b) If the Patent and Trademark Office determines that a concern is not eligible as a small business concern within this section, the concern shall have a right to appeal that determination to the Small Business Administration. The Patent and Trademark Office shall transmit its written decision and the pertinent size determination file to the SBA in the event of such adverse determination and size appeal. Such appeals by concerns should be submitted to the SBA at 1441 L Street, NW., Washington, D.C. 20416 (Attention: SBA Office of General Counsel). The appeal should state the basis upon which it is claimed that the Patent and Trademark Office initial size determination on the concern was in error; and the facts and arguments supporting the concern's claimed status as a small business concern under this section.
 - (e) A nonprofit organization as used in this chapter means (1) a university or other institution of higher education located in any country; (2) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 U.S.C. 501(a)); (3) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 U.S.C. 201(i); or (4) any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of this section if it were located in this country.

Payid M. Stern, Ann-Marie Schmidt, Applicant or Patentee: ven Marso, Eric Topol, Michael hcoffAttorney's 0575/62096/ Serial or Patent No.: 09/687.528 Docket No: _JPW/JML Filed or Issued: October 13, 2000 Title of Invention or Patent: A METHOD FOR INHIBITING NEW TISSUE GROWTH IN BLOOD VESSELS IN A PATIENT SUBJECTED TO BLOOD VESSEL INJURY VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f) AND §1.27(d) - NONPROFIT ORGANIZATION lare that I am an official empowered to act on behalf of the nonprofit organization identified below: Name of Organization: The Cleveland Clinic Foundation 9500 Euclid Avenue, Cleveland, Ohio 44195 Address of Organization: 9500 Euclid Avenue, Cleveland, Ohio 44195 TYPE OF ORGANIZATION: UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 U.S.C. §§501(a) and NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA NAME OF STATE: CITATION OF STATUTE: WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 U.S.C. §§501(a) and 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA NAME OF STATE: CITATION OF STATUTE: I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. \$1.9(e)* for purposes of paying reduced fees under 35 U.S.C. §41(a) and 41(b), with regard to the invention entitled A METHOD FOR INHIBITING NEW TISSUE GROWTH IN BLOOD VESSELS IN A PATIENT SUBJECTED TO BLOOD VESSEL INJURY by inventor(s) David M. Stern, Ann-Marie Schmidt, Steven Marso, Eric Topol and MIchael A. Lincoff described in: the specification filed herewith application serial no. 09/687,528 filed October 13, 2000 patent no. issued I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention. If the rights held by the nonprofit organization are not exclusive each individual, concern, or organization known to have rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d)* or a nonprofit organization under 37 C.F.R. 1.9(e)* aNOTE: Separate verified statements are required from each person, concern, or organization having rights to the invention averring to their status as small entities. 37 C.F.R. §1.27. Name: Address: Small Business Concern N nprofit Organization

Applicants: David M. Stern, et al.

U.S. Serial No.: 09/687,528 Filed: October 13, 2000

Small Entity/Nonprofit

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acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. \$1.28(b)*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

| Name of Person Signing: | Christopher Coburn |
|-------------------------|--------------------------------|
| Title In Organization: | Executive Director |
| Address: | CCF Innovations |
| 95005 Fuclid | Ayenue, Cleveland, Ohio, 44195 |
| Signature: | sur hila |
| Date Of Signature: 3/19 | 101 |